



PTO/SB/21 (08-00)

MODIFIED

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

213200.00122

|                        |                    |
|------------------------|--------------------|
| Application Number     | 10/039,585         |
| Filing Date            | 01/04/2002         |
| First Named Inventor   | Hongyi Hubert CHEN |
| Group Art Unit         | 2133               |
| Examiner Name          | Esaw T. Abraham    |
| Attorney Docket Number | MP0447             |

Total Number of Pages in This Submission 39

**ENCLOSURES (check all that apply)**

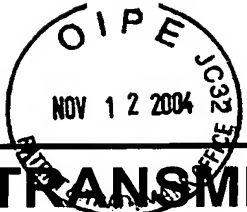
|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached credit card authorization<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request - in Amendment<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><b>CHANGE OF CUSTOMER NUMBER</b> |
| <b>Remarks</b>  |   |   |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                         |   |
|-------------------------|---|
| Firm or Individual name | Andrew J. Bateman<br>Registration No.: 45,573 |
| Signature               |   |
| Date                    | 11/12/2004                                    |

**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 

|                       |  |      |  |
|-----------------------|--|------|--|
| Typed or printed name |  |      |  |
| Signature             |  | Date |  |



# FEE TRANSMITTAL for FY 2005

Effective 10/01/2005. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**1,894.00**

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | 10/039,585         |
| Filing Date          | 01/04/2002         |
| First Named Inventor | Hongyi Hubert CHEN |
| Examiner Name        | Esaw T. Abraham    |
| Art Unit             | 2133               |
| Attorney Docket No.  | MP0447             |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account

**50-1710**

Account Name

**KATTEN MUCHIN ZAVIS ROSENMAN**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity  |               | Small Entity  |               | Description            | Fee Paid         |
|---------------|---------------|---------------|---------------|------------------------|------------------|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |                        |                  |
| 1001 790      | 2001 395      |               |               | Utility filing fee     |                  |
| 1002 350      | 2002 175      |               |               | Design filing fee      |                  |
| 1003 550      | 2003 275      |               |               | Plant filing fee       |                  |
| 1004 790      | 2004 395      |               |               | Reissue filing fee     |                  |
| 1005 160      | 2005 80       |               |               | Provisional filing fee |                  |
| SUBTOTAL (1)  |               |               |               |                        | (\$) <b>0.00</b> |

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       |    | Extra Claims |    | Fee |        | Fee Paid |          |
|--------------------|----|--------------|----|-----|--------|----------|----------|
|                    |    |              |    |     |        |          |          |
|                    | 80 | - 20** =     | 60 | x   | 18.00  | =        | 1,080.00 |
| Independent        | 16 | - 8 =        | 8  | x   | 88.00  | =        | 704.00   |
| Multiple Dependent |    |              |    |     | 300.00 | =        | 0.00     |

| Large Entity  |               | Small Entity  |               | Description  |
|---------------|---------------|---------------|---------------|--|
| Fee Code (\$) | Fee Code (\$) | Fee Code (\$) | Fee Code (\$) |  |
| 1202 18       | 2202 9        |               |               | Claims in excess of 20                                     |
| 1201 88       | 2201 44       |               |               | Independent claims in excess of 3                          |
| 1203 300      | 2203 150      |               |               | Multiple dependent claim, if not paid                      |
| 1204 88       | 2204 44       |               |               | ** Reissue independent claims over original patent         |
| 1205 18       | 2205 9        |               |               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**1,784.00**

\*\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code \$         |        | Fee Code \$ |        | Description  | Fee Paid |
|---------------------|--------|-------------|--------|--|----------|
| 1051                | 130    | 2051        | 65     | Surcharge - late filing fee or oath  |          |
| 1052                | 50     | 2052        | 25     | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                | 130    | 1053        | 130    | Non-English specification  |          |
| 1812                | 2,520  | 1812        | 2,520  | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804                | 920*   | 1804        | 920*   | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                | 1,840* | 1805        | 1,840* | Requesting publication of SIR after Examiner action                        |          |
| 1251                | 110    | 2251        | 55     | Extension for reply within first month                                     | 110.00   |
| 1252                | 430    | 2252        | 215    | Extension for reply within second month                                    |          |
| 1253                | 980    | 2253        | 490    | Extension for reply within third month                                     |          |
| 1254                | 1,530  | 2254        | 765    | Extension for reply within fourth month                                    |          |
| 1255                | 2,080  | 2255        | 1,040  | Extension for reply within fifth month                                     |          |
| 1401                | 340    | 2401        | 170    | Notice of Appeal   |          |
| 1402                | 340    | 2402        | 170    | Filing a brief in support of an appeal                                     |          |
| 1403                | 300    | 2403        | 150    | Request for oral hearing   |          |
| 1451                | 1,510  | 1451        | 1,510  | Petition to institute a public use proceeding                              |          |
| 1452                | 110    | 2452        | 55     | Petition to revive - unavoidable (1.17(l))                                 |          |
| 1453                | 1,370  | 2453        | 685    | Petition to revive - unintentional (1.17(m))                               |          |
| 1501                | 1,370  | 2501        | 685    | Utility issue fee (or reissue)   |          |
| 1502                | 490    | 2502        | 245    | Design issue fee   |          |
| 1503                | 660    | 2503        | 330    | Plant issue fee  |          |
| 1460                | 130    | 1460        | 130    | Petitions to the Director  |          |
| 1807                | 50     | 1807        | 50     | Processing fee - provisional app (1.17(q))                                 |          |
| 1806                | 180    | 1806        | 180    | Submission of Information Disclosure Stmt                                  |          |
| 8021                | 40     | 8021        | 40     | Recording each patent assignment per property (times number of properties) |          |
| 1809                | 790    | 2809        | 395    | Filing a submission after final rejection (1.129(a))                       |          |
| 1814                | 110    | 2814        | 55     | Statutory Disclaimer   |          |
| 1801                | 790    | 2801        | 395    | Request for Continued Examination (RCE)                                    |          |
| 1802                | 900    | 1802        | 900    | Req for expedited examination - Design App                                 |          |
| Other fee (specify) |        |             |        |  |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**110.00**

## SUBMITTED BY

Name: Andrew J. Bateman

Registration No.: 45,573

Telephone: (202) 625-3547

Signature: Andrew J. Bateman

Date: 11/12/2004

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